



National Investor Relations Institute

MEMBERSHIP APPLICATION

NIRI-Dallas*Ft. Worth NEXTGEN Chapter Package: Get NIRI membership plus membership in the NIRI-Dallas*Ft. Worth Chapter—a total value of \$875 for only \$250. Return this form to NIRI; Available only to NIRI-Dallas*Ft. Worth NEXTGEN participants. For program details please see back of this page.

Individual Data

First Name Middle Initial Last Name Suffix

Nickname/Name for Badge (optional)

Full Company Name

Your Title

Address

City State/Province Zip/Postal Code

Country

Phone Fax Email

Year Started Working Year you started IR activities

Company Web Site Ticker Symbol (if applicable)

Standard Industrial Classification (SIC) 2-digit Code
If applicable; for codes www.osha.gov/pls/imis/sic_manual.html

Primary Industry Secondary Industry

STOCK MARKET/EXCHANGE (IF APPLICABLE):

- NASDAQ OMX NYSE AMEX
- Non-U.S./OTC/Pink Sheets (please specify): _____

Type of Organization

- Public Company
- Private Company that may go public
- IR consulting
- Service Provider or Vendor
- Law firm
- Venture Capital firm/investment bank/hedge fund
- University/Academic Institution
- Other: _____

Membership Categories

- CORPORATE MEMBER:** Investor relations practitioners employed by a company listed on a public stock exchange or a company that may go public.
U.S. \$625 + U.S. \$50 application fee \$250 SPECIAL OFFER
- COUNSELOR MEMBER:** Investor relations practitioners engaged in assisted public company clients.
U.S. \$625 + U.S. \$50 application fee \$250 SPECIAL OFFER
- SERVICE PROVIDER MEMBER:** Individuals employed in a business that provides products or services to support the investor relations function.
U.S. \$625 + U.S. \$50 application fee \$250 SPECIAL OFFER
- AFFILIATED PROFESSION MEMBER:** Individuals employed in a profession related to investor relations; attorneys, investment bankers, analysts/brokers, accountants, etc.
U.S. \$625 + U.S. \$50 application fee \$250 SPECIAL OFFER
- ACADEMIC MEMBER:** Professors or students engaged full-time in an academic institution in areas encompassing investor relations or corporate communications.

Academic institution Advisor/Dean

Email Phone

U.S. \$100 + U.S. \$50 application fee \$150 SPECIAL OFFER - Academics

Profile of Counseling, Service Provider, or Affiliate Firm

(Please check a maximum of five)

- Advertising/branding/marketing
- Annual reports
- Conferencing
- Consulting for public companies
- Corporate communications
- Executive recruitment
- IPO consulting
- Meetings
- News release
- Proxy solicitation, contests
- Research
- Shareholder analysis, targeting
- Stock transfer agents
- Web site development
- Writing, presentation development
- Other: _____

Code of Ethics

As a member of the National Investor Relations Institute, I will:

CORPORATE/COUNSELOR MEMBERS:

1. Maintain my integrity and credibility by practicing investor relations in accordance with the highest legal and ethical standards.
2. Avoid even the appearance of professional impropriety in the conduct of my investor relations responsibilities.
3. Recognize that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information, and will to the best of my ability and knowledge work to ensure that my company or client fully and fairly discloses this important information.
4. Provide analysts, institutional and individual investors and the media fair access to corporate information.
5. Honor my obligation to serve the interest of shareholders and other stakeholders.
6. Discharge my responsibilities completely and competently by keeping myself abreast of the affairs of my company or client as well as the laws and regulations affecting the practice of investor relations.
7. Maintain the confidentiality of information acquired in the course of my work for my company or client company.
8. Not use confidential information acquired in the course of my work for my personal advantage nor for the advantage of related parties.
9. Exercise independent professional judgment in the conduct of my duties and responsibilities on behalf of my company or client.
10. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, my ethical practice of investor relations.
11. Report to appropriate company authorities if I suspect or recognize fraudulent or illegal acts within the company.
12. Represent myself in a reputable and dignified manner that reflects the professional stature of investor relations.

SERVICE PROVIDER/AFFILIATED PROFESSION/ACADEMIC MEMBERS:

1. Maintain my integrity and credibility in accordance with the highest legal and ethical standards.
2. Keep myself abreast of the laws and regulations affecting the practice of investor relations to the extent necessary to fulfill my duties.
3. During the course of my work, support, study, research, reporting, and/or practice of investor relations:
 - Maintain the confidentiality of information acquired.
 - Not use confidential information acquired for personal advantage nor for the advantage of other parties.
 - Exercise independent judgment and avoid conflicts of interest.
4. Support and promote maintaining the highest level of ethical standards for investor relations professionals.
5. Report to appropriate authorities if I strongly suspect or recognize fraudulent or illegal acts are being conducted.
6. Represent myself in a reputable and dignified manner that reflects on the professional stature of investor relations.
7. Honor my obligation to serve the interest of shareholders and other stakeholders by recognizing that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information.
8. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, the ethical practice of investor relations or its professional stature.

Have you ever been cited for violating U.S. Securities and Exchange Commission regulations, or convicted of a felony? Yes No

Please sign here

Date

Payment

National Dues: (\$675 Value) \$200

CHAPTER AFFILIATIONS:

NIRI-Dallas*Ft Worth Chapter (\$75 Value) **Dues Amount: \$50**

Total National and Chapter Dues: \$250

All new memberships are for a one-year term starting from enrollment date. All Dallas* Ft. Worth Chapter members must also join NIRI National. Offer is only for new members who have 1) never been a NIRI Dallas* Ft. Worth member or 2) whose membership lapsed at least one year before the current application. NIRI Dallas* Ft. Worth NextGen is designed for Investor Relations professionals who are newer in their careers and also have <5 years of IR experience. NIRI Dallas* Ft. Worth NextGen qualification and acceptance is at the discretion of NIRI Dallas* Ft. Worth Chapter leadership. For NIRI Dallas* Ft. Worth NextGen, NIRI National will offer "step up" rates for national membership from \$200 in year one to \$300 in year two, \$400 in year three, and full prevailing fee in year four. Dallas* Ft. Worth chapter membership will be \$50 in year one, two, and three. Full prevailing fee will be due in year four.

SELECT PAYMENT METHOD

For security and compliance purposes, NIRI will no longer accept credit card payments via email, phone or mailed correspondence. Please login at www.niri.org, go to store, and click on my account to process payment by credit card.

Check

ACH./Wire

Mail check to : 225 Reinekers Lane, Alexandria, VA 22314

ACH/Wire Instructions: Acct: 2066700461169 **ABA:** 121000248

Swift Code: WFBUS6S **Bank Name:** Wells Fargo

NIRI Tax ID #: 54-1758790

NIRI membership is in the name of the individual, not the employer organization. Membership transfer from one individual to another may be made with permission of the original member and a \$50 transfer fee. Membership is not valid without payment of dues

FOR NIRI USE

Paid	Date	NIRI	C1	C2	C3	Total	Approved NIRI VP	Date
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