

National Investor Relations Institute

NEXTGEN MEMBERSHIP APPLICATION



NIRI is proud to offer reduced membership to those with fewer than five years' experience in Investor Relations. NIRI's NEXTGEN offer enables new practitioners to join NIRI DFW and NIRI National for only \$250, versus the standard combined rate of \$875. See the reverse side of this page to see if you qualify.

Individual Data

First Name Middle Initial Last Name Suffix

Nickname/Name for Badge (optional)

Full Company Name

Your Title

Address

City State/Province Zip/Postal Code

Country

Phone Fax Email

Year Started Working Year you started IR activities

Company Web Site Ticker Symbol (if applicable)

Standard Industrial Classification (SIC) 2-digit Code
If applicable; for codes www.osha.gov/pls/imis/sic_manual.html

Primary Industry Secondary Industry

STOCK MARKET/EXCHANGE (IF APPLICABLE):

- NASDAQ OMX NYSE AMEX
 Non-U.S./OTC/Pink Sheets, other (please specify): _____

Type of Organization

- Public Company
 Private Company That May Go Public
 IR Consulting
 Service Provider or Vendor
 Law firm
 Venture Capital Firm/Investment Bank/Hedge Fund
 University/Academic Institution
 Other: _____

Membership Categories

For full membership category descriptions, visit www.niri.org

- CORPORATE MEMBER:** Investor relations practitioners employed by a company listed on a public stock exchange or a company that may go public.
\$250 SPECIAL OFFER, INCLUDES MEMBERSHIP IN DFW CHAPTER
- COUNSELOR MEMBER:** Investor relations practitioners engaged in assisted public company clients.
\$250 SPECIAL OFFER, INCLUDES MEMBERSHIP IN DFW CHAPTER
- SERVICE PROVIDER MEMBER:** Individuals employed in a business that provides products or services to support the investor relations function.
\$250 SPECIAL OFFER, INCLUDES MEMBERSHIP IN DFW CHAPTER
- AFFILIATED PROFESSION MEMBER:** Individuals employed in a profession related to investor relations; attorneys, investment bankers, analysts/brokers, accountants, etc.
\$250 SPECIAL OFFER, INCLUDES MEMBERSHIP IN DFW CHAPTER

Profile of Counseling, Service Provider, or Affiliate Firm

(Please check a maximum of five)

- Advertising/branding/marketing
 Annual reports
 Conferencing
 Consulting for public companies
 Corporate communications
 Executive recruitment
 IPO consulting
 Meetings
 News release
 Proxy solicitation, contests
 Research
 Shareholder analysis, targeting
 Stock transfer agents
 Web site development
 Writing, presentation development
 Other: _____

Code of Ethics

As a member of the National Investor Relations Institute, I will:

CORPORATE/COUNSELOR MEMBERS:

1. Maintain my integrity and credibility by practicing investor relations in accordance with the highest legal and ethical standards.
2. Avoid even the appearance of professional impropriety in the conduct of my investor relations responsibilities.
3. Recognize that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information, and will to the best of my ability and knowledge work to ensure that my company or client fully and fairly discloses this important information.
4. Provide analysts, institutional and individual investors and the media fair access to corporate information.
5. Honor my obligation to serve the interest of shareholders and other stakeholders.
6. Discharge my responsibilities completely and competently by keeping myself abreast of the affairs of my company or client as well as the laws and regulations affecting the practice of investor relations.
7. Maintain the confidentiality of information acquired in the course of my work for my company or client company.
8. Not use confidential information acquired in the course of my work for my personal advantage nor for the advantage of related parties.
9. Exercise independent professional judgment in the conduct of my duties and responsibilities on behalf of my company or client.
10. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, my ethical practice of investor relations.
11. Report to appropriate company authorities if I suspect or recognize fraudulent or illegal acts within the company.
12. Represent myself in a reputable and dignified manner that reflects the professional stature of investor relations.

SERVICE PROVIDER/AFFILIATED PROFESSION/ACADEMIC MEMBERS:

1. Maintain my integrity and credibility in accordance with the highest legal and ethical standards.
2. Keep myself abreast of the laws and regulations affecting the practice of investor relations to the extent necessary to fulfill my duties.
3. During the course of my work, support, study, research, reporting, and/or practice of investor relations:
 - Maintain the confidentiality of information acquired.
 - Not use confidential information acquired for personal advantage nor for the advantage of other parties.
 - Exercise independent judgment and avoid conflicts of interest.
4. Support and promote maintaining the highest level of ethical standards for investor relations professionals.
5. Report to appropriate authorities if I strongly suspect or recognize fraudulent or illegal acts are being conducted.
6. Represent myself in a reputable and dignified manner that reflects on the professional stature of investor relations.
7. Honor my obligation to serve the interest of shareholders and other stakeholders by recognizing that the integrity of the capital markets is based on transparency of credible financial and non-financial corporate information.
8. Avoid any professional/business relationships that might affect, or be perceived to potentially affect, the ethical practice of investor relations or its professional stature.

Have you ever been cited for violating U.S. Securities and Exchange Commission regulations, or convicted of a felony? Yes No

Please sign here

Date

Payment

National Dues: (Regularly \$675)	\$200
NIRI-Dallas*Ft Worth Chapter (Regularly \$200)	\$50
Total National and Chapter Dues:	\$250

- Enclosed is a check payable to NIRI
- Charge credit card (AMEX, Discover, MasterCard, VISA)

Card number Security/panel #

Name on Card Expiration Date

Please remit dues along with application to:

NIRI

225 Reinekers Lane, Suite 560, Alexandria, VA 22314 USA

Or fax to: (703) 562-7701 **Or email to:** memberservices@niri.org

NIRI Tax ID #: 54-1758790

NIRI membership is in the name of the individual, not the employer organization.

Membership transfer from one individual to another may be made with permission of the original member and a \$50 transfer fee.

Membership is not valid without payment of dues.

FOR NIRI USE

Paid	Date	NIRI	C1	C2	C3	Total	Approved NIRI VP	Date
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